



# Home Care and Assisted Living Advisory Council

## Quarterly Meeting

December 15, 2025



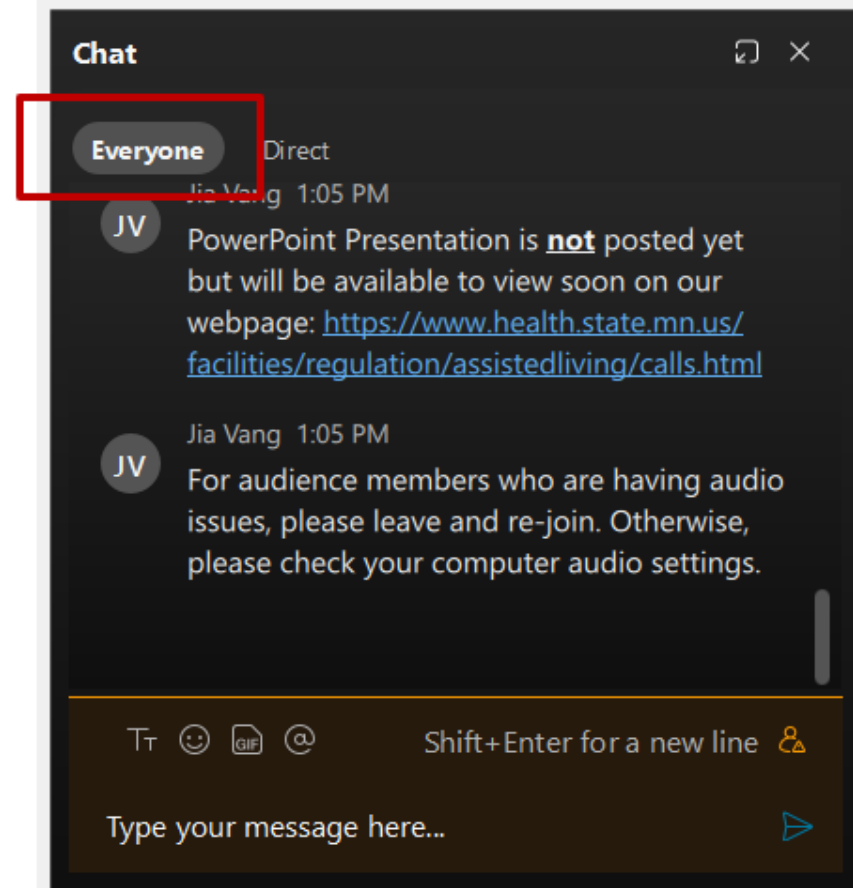
Home Care and Assisted Living Advisory Council

# Webex Participants

Advisory Council meetings are held at least quarterly to conduct the business of the Council. Meetings are open to the public and public comments in the Webex Chat are reviewed.

**Participants are muted.**

**To make a comment,** click on the chat bubble to open the chat, select “Everyone”, and provide a comment. Messages sent privately may not be addressed due to logistics.



- Advisory Council Vacancy Update
- FY 2025 Data Trends
- Home Care and Assisted Living Resources Project Update
- 2025 Annual Council Recommendations Discussion
- 2026 Meeting Dates





Open Council Vacancies

# Open Vacancies

- Public Member who is a Person who has or had a Family Member Living in an Assisted Living Facility. – **Applications under review**
- Person either Currently Receiving Home Care Services or Has Received These Services in the past. – **Applications under review**
- One representative of a consumer advocacy organization representing individuals receiving long-term care from licensed home care providers or assisted living facilities. – **Appointment recommendation to Commissioner**



# FY 2025 Data and Trends



# FY 2025 Home Care Data Trends

144A Basic and Comprehensive Home Care

# Home Care Active Licenses

## FY 2021- 2025 (July 1 –June 30)

License Type	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025
Basic	34	42	44	41	40
Comprehensive	1448	1475	632	491	414
Temporary Basic	6	8	23	41	130*
Temporary Comprehensive	88	40	60	57	167*
<b>Total Licenses</b>	<b>1616</b>	<b>1565</b>	<b>759</b>	<b>630</b>	<b>751</b>

# Total Complaints Received

## Home Care FY 2025

Total Complaints Received: 848

- Basic – 12
- Comprehensive – 800
- Temporary Basic – 1
- Temporary Comprehensive – 35

# Home Care Investigations

## FY 2025

Investigation Type	Provider Type	Closed	Total
Compliance only	BASIC	1	1
Compliance only	COMP	4	4
Compliance only	TCOMP	3	4
Maltreatment	COMP	35	43
Maltreatment	TCOMP	2	5
		<b>45</b>	<b>57</b>

# Home Care Surveys Completed

## FY 2021-FY 2025 (July 1-June 30)

Survey Type	FY2021 (COVID)	FY2022 (ALL)	FY2023	FY2024	FY2025
BASIC HOME CARE LIC	9	21	1	13	1
COMPREHENSIVE HOME CARE LIC	105	285	31	187	7
TEMPORARY BASIC HOME CARE LIC	4	2	14	26	16
TEMPORARY COMPREHENSIVE HOME CARE LIC	366	21	57	65	79
<b>Total Surveys</b>	<b>484</b>	<b>329</b>	<b>103</b>	<b>291</b>	<b>103</b>

# Top 10 Correction Orders

## FY 2025 Basic & Comprehensive Home Care

TAG #	DESCRIPTION OF NONCOMPLIANCE	TAG FREQUENCY
1245	TB Infection Control	42
0870	Content of Service Plan	24
0810	Individual Abuse Prevention Plan	21
0815	Employee Records	17
0920	Individualized Medication Mgt. Plan	17
0790	Quality Management	15
0860	Comprehensive Assessment and Monitoring	14
0965	Prescriptions	13
0865	Service Plan, Implementation, & Revisions	13
1080	Content of Client Record	12

# Reconsideration Requests Received

## Appeals Received = 17

- Correction Orders = 4
- Maltreatment = 7
- License Denials = 5
- Nonrenewal= 1

## Appellant Identities

- Facility – 16
- Alleged Perpetrator - 1
- Family – 0

## Total Reviewed = 17

### ■ Affirmed/Upheld = 20

- Correction Orders = 16; Maltreatment = 1; Denial = 3

### ■ Rescinded = 4

- Correction Orders = 2; Maltreatment = 2

### ■ Scope/Severity Reduced = 1

- Correction Orders = 1



# FY 2025 Assisted Living Data Trends

144G Assisted Living Facility & Assisted Living Facility with Dementia Care

# ALF and ALFDC Counts by year – Includes ALF, PALF, ALFDC and PALFDC

	<b>CY 2021</b>	<b>CY 2022</b>	<b>CY 2023</b>	<b>CY 2024</b>	<b>FY 2025</b>
ALF	1462	1548	1633	1595	1719
ALFDC	591	599	611	609	609
<b>Total</b>	<b>2053</b>	<b>2147</b>	<b>2244</b>	<b>2204</b>	<b>2328</b>

<b>Category</b>	<b>FY 2025 Count</b>
5 or Less	1,219
6 to 16	287
17+	822

# Relocation Applications – By the #s

Between March 15 and November 18, 2025, MDH has received 19 applications for relocation.

18 of those applications were for ALF licensees.

1 was for an ALFDC licensee.



# Assisted Living Surveys Completed

Fiscal Year 2025 (Jul 1, 2024-Jun 30, 2025)

Assisted Living Facilities (ALF):	649
ALF Change of Ownership (CHOW):	40
Assisted Living Facility with Dementia Care (ALFDC):	270
ALFDC CHOW:	26
Provisional ALF (PALF):	147
PALFDC:	13
<b>TOTAL:</b>	<b>1145</b>



# Assisted Living Correction Order Trends

Fiscal Year 2025 (Jul 1-Jun 30) - Most Cited 1-10

AL Tag #	Description of Violation	Tag Frequency
0810	Fire Protection & Physical Environment: Fire Safety and Evacuation	910
0480	Minimum Requirements: MN Food Code	908
0680	Disaster Planning & Emergency Preparedness	673
0780	Fire Protection & Physical Environment: Smoke Alarms	570
0800	Fire Protection & Physical Environment: Maintenance/Good Repair	549
1620	Initial Reviews, Assessments, and Monitoring	434
0660	Tuberculosis Prevention and Control	421
1290	Background Studies Required	347
1890	Prescription Drugs	339
0650	Employee/Staff Records	315

# Assisted Living Correction Order Trends

Fiscal Year 2025 (Jul 1-Jun 30) – Most Cited 11-20

AL Tag #	Description of Violation	Tag Frequency
1640	Service plan, implementation and revisions to	307
0775	Fire Protection & Physical Environment: MN State Fire Code	303
1760	Documentation of Administration of Medication	296
0470	Minimum Requirements: Staffing	281
1880	Storage of Medications	277
1500	Required Annual Training	276
0820	Fire Protection & Physical Environment: Egress Windows	273
0790	Fire Protection & Physical Environment: Fire Extinguishers	269
0510	Infection Control Program	268
2310	Appropriate Care and Services	265

# Assisted Living Complaint Investigations

FY 2025 (July 1, 2024 – June 30, 2025)

MDH conducted 1082 maltreatment and licensing compliance investigations in assisted living facilities.



# Reconsideration Requests Received

## Appeal Types

- Correction Orders = 102
- Maltreatment = 82
- License Denials = 13
- Late Renewal Fee = 10

## Appellant Identities

- Facility = 148
- Substantiated Perpetrators = 43
- On behalf of Vulnerable Adults = 13

# Correction Order Reconsiderations

## Most Frequently Contested Tags:

- 820-Egress Window = 23
- 1290-Background Studies = 13
- 0810-Fire Safety and Evacuation Plans = 10
- 0800-Maintenance/Good Repair = 9
- 2310-Bedrails = 8
- 0780-Smoke Alarms = 7

- 67 different correction order (tag) types contested

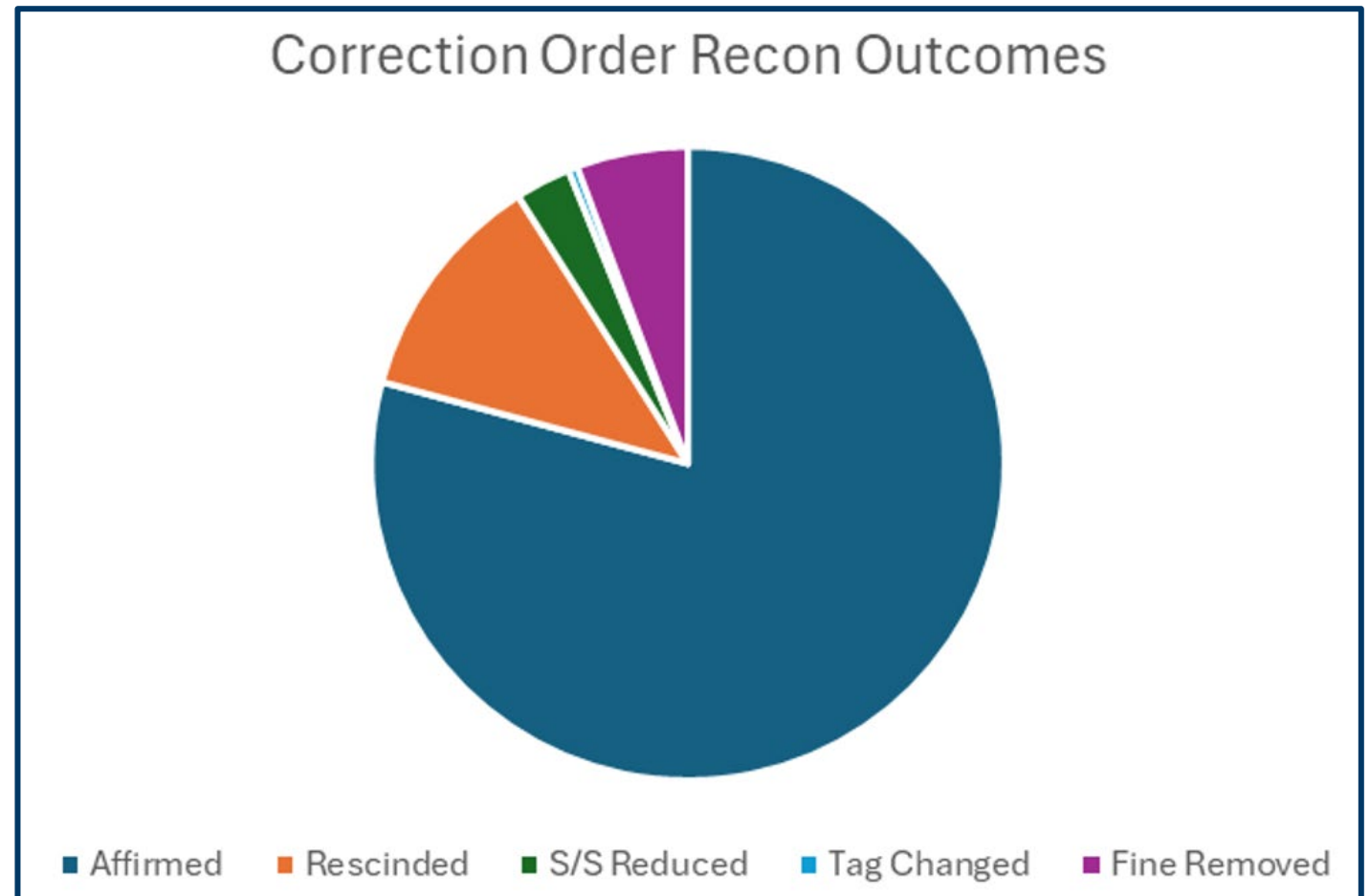
## Biggest Themes:

- Fire Protection/Physical Environment
- Background Studies
- AL Bill of Rights

# Correction Order Reconsideration Outcomes

**Total Reviewed = 211**

- Affirmed = 167 (79.1%)
- Rescinded = 25 (11.8%)
- S/S Reduced = 6 (2.8%)
- Tag Changed = 1 (0.5%)
- Fine Removed = 12 (5.7%)



# Maltreatment Reconsideration Outcomes

Maltreatment Reconsideration Determinations = 67

- Upheld = 59
- Changed from Substantiated to Inconclusive/Not Substantiated = 8
- Changed from Inconclusive/Not Substantiated to Substantiated = 0

Fair Hearings = 22



Home Care & Assisted Living Resources Project  
Request for Proposal Updates

# Request for Proposal Recap (1/2)

The Advisory Council submitted its annual recommendations to the Commissioner of Health which included recommendations to create home care and assisted living educational resources for licenses.

MDH posted a Request for Proposal (RFP) on behalf of the Advisory Council to provide the expertise, experience, and knowledge to meet three goals:

- 1) Create statutorily correct forms and training materials.
- 2) Create a Provider Digital Toolkit for Minnesota Home Care and Minnesota Assisted Living providers; and
- 3) Provide joint trainings in coordination with home care and assisted living surveyors and providers to share the information and processes of goal 1 and 2, including any current statutory updates at the time of the trainings.

# Request for Proposal Contract Recap (2/2)

The RFP bids closed on June 10, 2025. The evaluation team comprised of the Advisory Council Chair and some Council members scored the proposals and made its recommendation.

The RFP was awarded to Stratis Health. Contract negotiations are complete, and the contract with Stratis Health was executed as of September 10, 2025.

MDH has met routinely with Stratis Health since September 17, 2025, to collaborate on and execute the workplan.

# Home Care and Assisted Living Resources Project (1/3)

Stratis Health is continuing to refine the project workplan and review relevant data, educational resources, and tools.

Advisory Council members who volunteered to engage further with the project were contacted and outreach calls with provider and consumer organizations are on-going.

# Home Care and Assisted Living Resources Project (2/3)

Preliminary outreach calls suggest the following themes:

- Licensure pathways: clear guidance on how to navigate licensure requirements and where to access information and assistance.
- Understanding the operational and financial (business) aspects and requirements of licensure.
- Interpreting regulations and translating them into practical operations customized for the provider.
- Cultural awareness and understanding to better serve diverse populations.

# Home Care and Assisted Living Resources Project (3/3)

Guidance documents and a digital toolkit are projected to be completed by early summer 2026 with training on the created content to follow.

Advisory Council members who volunteered to provide additional assistance to this project will be contacted in the coming weeks for input as content is created.

The Advisory Council will be updated in more detail at the March 2026 Council meeting.



# 2025 Council Recommendations

# Annual Recommendations Requirement (1/2)

Minn. Stat. 144A.4799 requires the Council to make annual recommendations to the Commissioner that may include projects and initiatives that do the following:

- Create and administer training of licensees and ongoing training for their employees to improve clients' and residents' lives, support licensees, improve and enhance quality care, and provide technical assistance to licensees to improve compliance;
- Develop and implement information technology and data projects that analyze and communicate information about trends in violations or lead to ways of improving resident and client care;

# Annual Recommendations Requirement (2/2)

- Improve communications strategies to licensees and the public;
- Recruit and retain direct care staff;
- Recommend education related to the care of vulnerable adults in professional nursing programs, nurse aide programs, and home health aide programs; and
- Benefit residents, clients, families, and the public in other ways.

# 2024 Council Recommendation Recap

2024 Recommendations	Status
Portability Employee Training/Administrative Tasks (TB)	2024 Legislation addressed portability of training for 144G. New TB Resources on MDH website.
Eliminate Duplicative Non-Facility Specific Training for CNAs/TMAs	TMA rulemaking in progress.
MDH Standardized Forms	RFP contract executed June 2025.
Surveyor and Provider Joint Training	RFP contract executed June 2025
New Provider Training Toolkit	RFP contract executed June 2025
Council Led-Task Force to create optional online course/content	Not council led, but online content addressed with RFP
Proactive Approach/Strategies to Connect Providers	Tabled in-part; External education creation addressed with RFP.

# 2025 Recommendations Discussion

What recommendations would the Council want to make to the Commissioner regarding 144A home care and 144G assisted living issues?



# Next Steps....

Draft recommendations will be compiled based on Advisory Council discussion and will be sent to Advisory Council members for review.

Final recommendations will be approved at the next Advisory Council meeting.



A New Year! 2026!

# 2026

## Proposed 2026 Advisory Council Meeting Dates

- March 16
- June 15
- September 21
- December 14

# Thank You!

[health.assistedliving@state.mn.us](mailto:health.assistedliving@state.mn.us)

[health.homecare@state.mn.us](mailto:health.homecare@state.mn.us)